



## Department of Banking and Finance

2990 Brandywine Road, Suite 200  
Atlanta, Georgia 30341-5565  
770-986-1633  
[www.gadbf.org](http://www.gadbf.org)

Nathan Deal  
Governor

Kevin B. Hagler  
Commissioner

August 23, 2013

**FILED**

**SEP 24 2013**

### VIA CERTIFIED MAIL

NuView Financial Services, LLC  
1920 Main Street  
Suite 700  
Irvine, CA 92614

GEORGIA DEPARTMENT OF  
BANKING AND FINANCE

### ORDER TO CEASE AND DESIST

Pursuant to O.C.G.A. § 7-1-1018(a), the Georgia Department of Banking and Finance ("Department") hereby orders you, **NuView Financial Services, LLC**, to cease and desist from engaging in activities in violation of the Georgia Residential Mortgage Act, O.C.G.A. § 7-1-1000 *et seq* ("the Act"). O.C.G.A. § 7-1-1002(a) prohibits any person from transacting business as a residential mortgage loan originator, mortgage broker or mortgage lender unless licensed or exempted from licensing requirements. The Department has documentation that **NuView Financial Services, LLC** violated the Act, specifically O.C.G.A. § 7-1-1002, by engaging in residential mortgage originating, brokering, and/or lending activities without a valid license or pursuant to an applicable exemption.

This Order shall be final thirty days from the date of issuance. O.C.G.A. § 7-1-1018(a). The Department, however, may rescind the Order to Cease and Desist if within this thirty-day period you provide the Department with documentation showing that **NuView Financial Services, LLC** possesses a valid license or qualifies for an exemption found in O.C.G.A. § 7-1-1001. If the Department finds no valid license or applicable exemption exists, the Order to Cease and Desist shall become a final order of the Department, pursuant to O.C.G.A. § 7-1-1018(a). Should you have any questions concerning this matter, please direct them to Heidi Dias, Non-Depository Financial Institutions Division Attorney, at (770) 986-1649 or by e-mail at [hdias@dbf.state.ga.us](mailto:hdias@dbf.state.ga.us).

Rod Carnes, CFE  
Deputy Commissioner  
Non-Depository Financial Institutions Division

91 7199 9991 7032 8718 7463